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PATENTS IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Sanberg et al.**

Serial No. **09/801,221**

Filed: **March 7, 2001**

For: **HUMAN CORD BLOOD AS A SOURCE OF  
NEURAL TISSUE FOR REPAIR OF THE  
BRAIN AND SPINAL CORD**

Art Unit: **1632**

Examiner: **Anne-Marie Falk**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Sir:

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Respectfully submitted,

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/801,221		
		Filing Date	March 7, 2001		
		First Named Inventor	Sanberg, et al.		
		Art Unit	1632		
		Examiner Name	FALK, Anne Marie		
Sheet	1	of	1	Attorney Docket Number	20657-0005

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,690,927	11-25-1997	Major, et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Patent Document Number Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		WO 99/43286	09-02-1999	MCP Hahnemann University		
		WO 99/56759	11-11-1999	University of South Florida		

## NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
FLAX J. E., et al., (1998) "Engraftable Human Neural Stem Cells Respond to Developmental Cues, Replace Neurons, and Express Foreign Genes," <i>Nature Biotechnology</i> , <i>Nature America, Inc.</i> 16:1033-1039.			
HURLBERT, M.S., et al., (1999) "Neural Transplantation of hNT Neurons for Huntington's Disease," <i>Cell Transplantation</i> , 8:143-151.			
LU L., et al., (1996) "Stem Cells from Bone Marrow, Umbilical Cord Blood and Peripheral Blood for Clinical Application: Current Status and Future Application," <i>Critical Reviews in Oncology/Hematology</i> , 22:61-78.			
MCKAY R., (1997) "Stem Cells in the Central Nervous System," <i>Science</i> , 276:66-71.			
SIRCHIA G., et al., (1999) "Placenta/Umbilical Cord Blood Transplantation," <i>Haematologica</i> , 84:738-747.			
Examiner Signature		Date Considered	

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